

Truncated Moment Problems On Algebraic Curves

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joint work with

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1. Preliminaries

- ▶ Types of solutions to the truncated moment problem
- ▶ Moment matrices and necessary conditions for existence

The Classical Truncated K -Moment Problem (K -TMP)

Let $m \in \mathbb{N}$ and $\mathbf{i} := (i_1, \dots, i_n) \in \mathbb{Z}_+^n$ with $|\mathbf{i}| := i_1 + \dots + i_n$.

$$\beta \equiv \beta^{(m)} = \{\beta_{\mathbf{i}} : \mathbf{i} \in \mathbb{Z}_+^n, |\mathbf{i}| \leq m\}$$

an n -dimensional real multisequence of degree m .

$K \subseteq \mathbb{R}^n$ is a closed subset.

The **classical truncated K -moment problem (K -TMP)**: characterize the existence of a positive Borel measure μ on \mathbb{R}^n with $\text{supp } \mu \subseteq K$, such that

$$\beta_{\mathbf{i}} = \int_K \mathbf{x}^{\mathbf{i}} d\mu(\mathbf{x}) \quad (|\mathbf{i}| \leq m)$$

where $\mathbf{x} := (x_1, \dots, x_n)$ and $\mathbf{x}^{\mathbf{i}} := x_1^{i_1} \cdots x_n^{i_n}$.

μ is called a **K -representing measure** of β .

Types of Solutions to the K -TMP

By degree of explicitness

Solutions to the K -TMP can be classified by how explicitly they characterize the existence of a K -representing measure.

1. **Abstract solutions** *(existence criteria)*
Typically **partially algorithmic**. Foundational for the theory, but often hard to use in concrete numerical instances.
2. **Concrete solutions** *(checkable conditions)*
Give necessary and sufficient conditions that are **directly verifiable** in explicit examples. Highly useful in applications, but often difficult to obtain.
3. **Constructive solutions** *(measure produced)*
Concrete criteria that additionally **produce a representing measure**. Strongest form of solvability in applications.

Milestone Abstract Solutions to the K -TMP

Three foundational existence criteria

1. Flat Extension Theorem (Curto & Fialkow, 1996)

Solvability \iff existence of a *rank-preserving PSD extension* of the moment matrix.

Often constructive on curves (all conics; some cubics).

2. Truncated Riesz–Haviland Theorem (Curto & Fialkow, 2008)

Solvability \iff existence of an extension whose Riesz functional is *K -positive* (nonnegative on all polynomials ≥ 0 on K).

Relies on *explicit nonnegativity certificates*.

3. Core Variety Theorem (Blekherman & Fialkow, 2020)

Solvability \iff *non-emptiness of a kernel-determined variety* (the core variety).

Provides a *geometric* and *coordinate-free* viewpoint.

Riesz Functional and its Positivity

Let $\mathbb{R}[\mathbf{x}] := \mathbb{R}[x_1, \dots, x_n]$ and, for $m \in \mathbb{N}$, set

$$\mathbb{R}[\mathbf{x}]_{\leq m} := \{p \in \mathbb{R}[\mathbf{x}] : \deg p \leq m\}.$$

Given a truncated moment sequence $\beta \equiv \beta^{(m)} = \{\beta_i\}_{|i| \leq m}$, define the **Riesz functional**

$$L_\beta : \mathbb{R}[\mathbf{x}]_{\leq m} \rightarrow \mathbb{R}, \quad p(\mathbf{x}) = \sum_{|i| \leq m} a_i \mathbf{x}^i \mapsto L_\beta(p) := \sum_{|i| \leq m} a_i \beta_i.$$

Proposition. If β has a K -representing measure μ , then L_β is **K -positive**, i.e.,

$$p \in \mathbb{R}[\mathbf{x}]_{\leq m}, \quad p|_K \geq 0 \implies L_\beta(p) \geq 0.$$

Proof.

Assume $\beta_\alpha = \int_K \mathbf{x}^\alpha d\mu(\mathbf{x})$ for all $|\alpha| \leq m$. Then for any $p = \sum a_i \mathbf{x}^i \in \mathbb{R}[\mathbf{x}]_{\leq m}$,

$$L_\beta(p) = \sum a_i \beta_i = \int_K \left(\sum a_i \mathbf{x}^i \right) d\mu = \int_K p d\mu \geq 0,$$

since $p \geq 0$ on K and μ is a positive measure. □

Functional Calculus on the Moment Matrix

Let $\beta \equiv \beta^{(2k)}$. For $p, q \in \mathbb{R}[\mathbf{x}]_{\leq k}$, denote by \hat{p}, \hat{q} their coefficient vectors with respect to the ordered monomial basis of $\mathbb{R}[\mathbf{x}]_{\leq k}$. Then

$$\langle M_d \hat{p}, \hat{q} \rangle := L_\beta(pq).$$

The **evaluation** of $p(\mathbf{x}) = \sum_{|i| \leq k} a_i x^i \in \mathbb{R}[\mathbf{x}]_{\leq k}$ on M_d is equal to

$$p(\mathbf{X}) \equiv \sum_{|i| \leq k} a_i \mathbf{X}^i := M_d \hat{p}.$$

Example. For $n = 2$ and $p := \sum_{i,j} a_{i,j} x^i y^j \in \mathbb{R}[x, y]_{\leq k}$:

$$p(X, Y) = \sum_{i,j} a_{i,j} X^i Y^j = a_{0,0} \cdot \begin{matrix} 1 \\ \beta_{0,0} \\ \beta_{1,0} \\ \beta_{0,1} \\ \vdots \\ \beta_{0,k} \end{matrix} + a_{1,0} \cdot \begin{matrix} X \\ \beta_{1,0} \\ \beta_{2,0} \\ \beta_{1,1} \\ \vdots \\ \beta_{1,k} \end{matrix} + \cdots + a_{0,k} \cdot \begin{matrix} Y^k \\ \beta_{0,k} \\ \beta_{1,k} \\ \beta_{0,k+1} \\ \vdots \\ \beta_{0,2k} \end{matrix}$$

Necessary Conditions for a Representing Measure

Assume $\beta^{(2k)}$ admits a representing measure μ on K . Then:

1. **Positivity.** The moment matrix $M_k(\beta)$ is **positive semidefinite**:

$$\langle M_k \widehat{p}, \widehat{p} \rangle = L_\beta(p^2) = \int p^2 d\mu \geq 0, \quad \forall p \in \mathbb{R}[\mathbf{x}]_{\leq k}.$$

2. **Support-size bound.** Any representing measure must satisfy

$$\text{card}(\text{supp } \mu) \geq \text{rank } M_k.$$

3. **Variety condition.** Define the **variety** of M_k by

$$V(M_k) := \left\{ x \in \mathbb{R}^n : p(x) = 0 \quad \forall p \in \mathbb{R}[\mathbf{x}]_{\leq k} \text{ with } p(\mathbf{X}) = 0 \right\}.$$

Then

$$\text{supp } \mu \subseteq V(M_k), \quad \text{and} \quad \text{card } V(M_k) \geq \text{rank } M_k.$$

4. **Recursive generation.** M_k is **recursively generated** in the sense that

$$p(\mathbf{X}) = 0 \implies (pq)(\mathbf{X}) = 0 \quad \text{whenever } p, q, pq \in \mathbb{R}[\mathbf{x}]_{\leq k},$$

2. Flat Extension Theorem and Applications

- ▶ Applications to lines and conics in the plane
- ▶ Application to the quartic case in the plane
- ▶ Application to the cubic curve $y = x^3$

Flat Extension Theorems

Flat Extension Theorem I (Curto & Fialkow, 1996). The following statements are equivalent:

1. $\beta^{(2k)}$ admits a (rank M_k)–atomic representing measure.
2. M_k is positive semidefinite and there exists an extension M_{k+1} such that

$$\text{rank } M_{k+1} = \text{rank } M_k.$$

In this case, M_{k+1} has a *unique representing measure*, which is (rank M_k)–atomic, and whose support is $V(M_{k+1})$.

Flat Extension Theorem II (Curto & Fialkow, 2005). The following statements are equivalent:

1. $\beta^{(2k)}$ has a representing measure.
2. M_k admits a positive semidefinite extension M_{k+d} (for some d , $0 \leq d \leq 2 \dim \mathbb{R}[\mathbf{x}]_{\leq 2k} - d$) which, in turn, admits a flat extension M_{k+d+1} , i.e.,

$$\text{rank } M_{k+d+1} = \text{rank } M_{k+d}.$$

Applications of Flat Extension Theorem I

TMP on conics in the plane

Theorem (Curto & Fialkow 2002, 2004, 2005; Fialkow 2016).

Let $p(x, y) \in \mathbb{R}[x, y]_{\leq 2}$ and define the quadratic curve

$$\mathcal{Z}(p) := \{(x, y) \in \mathbb{R}^2 : p(x, y) = 0\}.$$

Fix $k \geq \deg p$. Then the following are equivalent:

1. $\beta^{(2k)}$ admits a $\mathcal{Z}(p)$ -representing measure.
2. M_k is **positive semidefinite** and **recursively generated**, satisfies the **variety condition**, and the column relation $p(X, Y) = 0$ holds in M_k .

Main technique:

1. Reduce the conic $\mathcal{Z}(p)$ to one of five canonical forms ($x^2 + y^2 = 1$, $y = x^2$, $xy = 1$, $y^2 = y$, $xy = 0$) using affine linear transformations, then analyze each case via flat extensions.
2. Show that either M_k admits a **flat** extension M_{k+1} , or it admits a **positive** extension to M_{k+1} from which a flat extension occurs at the next step (i.e., M_{k+2} is flat).

Applications of Flat Extension Theorem II

Nonsingular quartic binary TMP

Theorem (Fialkow & Nie 2010; Curto & Yoo 2014).

Assume $\beta^{(4)}$ is such that

M_2 is **positive definite**.

Then $\beta^{(4)}$ admits a **representing measure**.

Moreover, M_2 admits a **flat extension** M_3 , whence there exists a 6–atomic representing measure.

Main technique:

1. Existence (Fialkow & Nie): uses convex-geometric methods together with a description of positive bivariate quartics.
2. Constructive step (Curto & Yoo): produces a flat extension and hence an explicit finitely atomic measure via the Flat Extension Theorem.

Applications of Flat Extension Theorem III

A cubic planar curve $y = x^3$

We say that M_k is $(y - x^3)$ -pure if its column dependence relations are exactly those obtained from the basic relation $Y = X^3$ by **linearity** and **recursiveness**.

Theorem (Fialkow, 2011).

Assume M_k is **positive semidefinite** and $(y - x^3)$ -pure. Then the following are equivalent:

1. β admits a **representing measure** supported on $\{(x, y) : y = x^3\}$;
2. β admits a **finitely atomic** representing measure;
3. M_k admits a **flat extension** M_{k+1} ;
4. $\beta_{1, 2k-1} > \phi(\beta)$, where $\phi(\beta)$ is an explicit rational expression in the moments.

3. Truncated Riesz–Haviland Theorem

- ▶ Applications to planar cubic curves

Truncated Riesz–Haviland Theorem

Riesz–Haviland Theorem (M. Riesz - $n = 1$, 1923; Haviland - $n > 1$, 1935).

The following statements are equivalent:

1. $\beta^{(\infty)}$ admits a K –representing measure.
2. The associated Riesz functional L_β is K –positive, i.e.,

$$p \in \mathbb{R}[\mathbf{x}], \quad p|_K \geq 0 \quad \implies \quad L_\beta(p) \geq 0.$$

Theorem (Stochel, 2001). $\beta^{(\infty)}$ has a K –representing measure if and only if $\beta^{(m)}$ has a K –representing measure for each $m \geq 1$.

Truncated Riesz–Haviland Theorem (TRHT) (Curto & Fialkow, 2008). The following statements are equivalent:

1. $\beta = \beta^{(2k)}$ or $\beta = \beta^{(2k+1)}$ admits a K –representing measure.
2. β admits an extension $\tilde{\beta} \equiv \beta^{(2k+2)}$ such that the associated Riesz functional $L_{\tilde{\beta}}$ is K –positive, i.e.,

$$p \in \mathbb{R}[\mathbf{x}]_{\leq 2k+2}, \quad p|_K \geq 0 \quad \implies \quad L_{\tilde{\beta}}(p) \geq 0.$$

Nonsingular Truncated Riesz–Haviland Theorem

Theorem (di Dio & Schmüdgen, 2018). If L_β is K -strictly positive, i.e.,

$$0 \neq p \in \mathbb{R}[\mathbf{x}]_{\leq 2k}, \quad p|_K \geq 0 \quad \implies \quad L_{\beta^{(2k)}}(p) > 0,$$

then $\beta^{(2k)}$ admits a K -representing measure μ .

Remark. Checking K -positivity is often difficult, since K -positive polynomials of bounded degree are hard to describe. When Hilbert's SOS theorem applies (e.g., $n = 1$; or $k = 1$; or $n = k = 2$), every $p \geq 0$ with $\deg p \leq 2k$ satisfies $p = \sum_i p_i^2$. Thus, if $M_k(\beta) \succeq 0$ then

$$L_\beta(p) = \sum_i L_\beta(p_i^2) = \sum_i \langle M_k(\beta) \hat{p}_i, \hat{p}_i \rangle \geq 0,$$

so L_β is positive.

Irreducible Cubic Normal Forms

13 affine equivalence classes

Proposition. Let $P \in \mathbb{R}[x, y]$ be an **irreducible cubic** polynomial. Up to an invertible affine linear change of variables, P is equivalent to *exactly one* of the following normal forms:

(Weierstraß)

1. Smooth Weierstraß type 1:

$$y^2 - x(x - a)(x - b), \quad 0 < a < b,$$

2. Smooth Weierstraß type 2:

$$y^2 - x(x^2 + c^2), \quad c \neq 0.$$

(Rational)

3. Neile's parabola: $y^2 - x^3$.

4. Nodal cubic: $y^2 - x(x - 1)^2$.

5. Isolated point: $y^2 - x^2(x - 1)$.

6. Cubic parabola: $y - x^3$.

7. Rational cubic type 1:

$$xy - x^3 - q_2x^2 - q_1x - q_0, \quad q_0 \neq 0.$$

8. Rational cubic type 2: $xy^2 + ay - dx - e$.

(Newton)

9. Non-Weierstraß type 1: $xy^2 + ay - x^2 - dx - e$.

10. Non-Weierstraß type 2:

$$xy^2 - x^3 - cx^2 - dx - e, \quad e \neq 0.$$

11. Non-Weierstraß type 3:

$$xy^2 + x^3 - cx^2 - dx - e, \quad e \neq 0.$$

12. Non-Weierstraß type 4:

$$xy^2 + ay - x^3 - cx^2 - dx - e, \quad ae \neq 0.$$

13. Non-Weierstraß type 5:

$$xy^2 + ay + x^3 - cx^2 - dx - e, \quad ae \neq 0.$$

Reducible Cubic Normal Forms

16 affine equivalence classes

Proposition. Up to an invertible affine linear change of variables, every reducible cubic polynomial P such that $\mathcal{Z}(P) \not\subseteq \mathcal{Z}(Q)$ for any $Q \in \mathbb{R}[x, y]_{\leq 2}$ is equivalent to *exactly one* of the following forms:

1. *Circular type 1:* $P_{14}(x, y) = y(ay + x^2 + y^2)$,
 $a \in \mathbb{R} \setminus \{0\}$.
2. *Circular type 2:*
 $P_{15}(x, y) = y(1 + ay + x^2 + y^2)$, $|a| > 2$.
3. *Circular type 3:*
 $P_{16}(x, y) = y(1 + ay - x^2 - y^2)$, $a \in \mathbb{R}$.
4. *Parabolic type 1:* $P_{17}(x, y) = y(x^2 - y)$.
5. *Parabolic type 2:* $P_{18}(x, y) = y(x - y^2)$.
6. *Parabolic type 3:* $P_{19}(x, y) = y(1 + y + x^2)$.
7. *Parabolic type 4:* $P_{20}(x, y) = y(1 + y - x^2)$.
8. *Hyperbolic type 1:* $P_{21}(x, y) = y(1 - xy)$.
9. *Hyperbolic type 2:* $P_{22}(x, y) = y(x + y + axy)$,
 $a \in \mathbb{R} \setminus \{0\}$.
10. *Hyperbolic type 3:* $P_{23}(x, y) = y(ay + x^2 - y^2)$,
 $a \in \mathbb{R} \setminus \{0\}$.
11. *Hyperbolic type 4:*
 $P_{24}(x, y) = y(1 + ay + x^2 - y^2)$, $|a| \neq 2$.
12. *Hyperbolic type 5:*
 $P_{25}(x, y) = y(1 + ay - x^2 + y^2)$.
13. *Parallel lines type:* $P_{26}(x, y) = y(a + y)(b + y)$,
 $a, b \in \mathbb{R} \setminus \{0\}$, $a \neq b$.
14. *Intersecting lines type 1:*
 $P_{27}(x, y) = y(x - y)(x + y)$.
15. *Intersecting lines type 2:* $P_{28}(x, y) = yx(y + 1)$.
16. *Intersecting lines type 3:*
 $P_{29}(x, y) = y(1 + x - y)(1 - x - y)$.

Some Essential Definitions

Let $P \in \mathbb{R}[x, y]_{\leq 3}$ be a **cubic** polynomial, and set

$$C := \mathcal{Z}(P) \subseteq \mathbb{R}^2.$$

Coordinate ring. Define the coordinate ring of C by

$$\mathbb{R}[C] := \mathbb{R}[x, y]/I, \quad I := (P).$$

Total ring of fractions. Let

$$Q(\mathbb{R}[C])$$

denote the total ring of fractions of $\mathbb{R}[C]$. If C is **irreducible**, then $Q(\mathbb{R}[C])$ is the quotient field of $\mathbb{R}[C]$.

Degree truncations. For $m \in \mathbb{N}_0$, let

$$\mathbb{R}[C]_{\leq m}$$

be the image of $\mathbb{R}[x, y]_{\leq m}$ under the canonical map $\mathbb{R}[x, y] \rightarrow \mathbb{R}[C]$.

Applications of the TRHT

Cubic planar curves

Theorem I (Kummer & Z., 2025). Let $P \in \mathbb{R}[x, y]_{\leq 3}$ be **cubic** such that:

- (1) P is **irreducible** and $K := \mathcal{Z}(P)$ has **no isolated points**; or
- (2) $P = P_1 P_2$ is **reducible** where $P_1, P_2 \in \mathbb{R}[x, y]_{\leq 2}$, and the system $P_1(x, y) = P_2(x, y) = 0$ has **no non-real** solutions.

Then there exist rational functions $f, f_1 \in Q(\mathbb{R}[C])$ and an increasing sequence $\{V^{(\ell)}\}_\ell$ of vector subspaces from $Q(\mathbb{R}[C])$ with $\dim V^{(\ell)} = 3\ell$ and a spanning description

$$V^{(\ell)} = \text{span}\{f_1, f_2, \dots, f_{3\ell}\}, \quad f_i \in \mathbb{R}[C]_{\leq \ell} \quad \text{for } i = 2, \dots, 3\ell,$$

such that the following statements are equivalent for every $k \in \mathbb{N}$:

- (i) $p|_C \geq 0$ where $p \in \mathbb{R}[C]_{\leq 2k}$.
- (ii) There exist finitely many $g_i \in \mathbb{R}[C]_{\leq k}, h_j \in V^{(k)}$ such that

$$p = \sum_i g_i^2 + f \sum_j h_j^2.$$

Remark. In case (1), if $\mathcal{Z}(P)$ has an isolated point, then $V^{(k)}$ is replaced by $V^{(k-1)}$ in (ii).

$(f, V^{(\ell)})$ -Localizing Moment Matrix

$$V^{(\ell)} = \text{span}\{f_1, f_2, \dots, f_{3\ell}\}$$

The $(f, V^{(\ell)})$ -localizing moment matrix

$$M_{f, V^{(\ell)}} \equiv M_{f, V^{(\ell)}}(\beta)$$

associated to $\beta \equiv \beta^{(2\ell)} = \{\beta_{i,j}\}_{i+j \leq 2\ell}$ is the symmetric matrix whose rows and columns are indexed by

$$f_1(X, Y), f_2(X, Y), \dots, f_{3\ell}(X, Y),$$

and whose entries are given by

$$(M_{f, V^{(\ell)}})_{i,j} := L_{\beta}(f f_i f_j), \quad 1 \leq i, j \leq 3\ell.$$

$(f, V^{(\ell)})$ -Localizing Moment Matrix

Example. $P(x, y) = y^2 - x(x^2 + ax + b) = y^2 - xq(x)$, $q(x) := x^2 + ax + b$

$$f = x, \quad f_1 = \frac{y}{x}, \quad V^{(3)} = \text{span} \left\{ 1, \frac{y}{x}, x, y, x^2, xy, y^2, x^2y, xy^2 \right\}.$$

$$M_{x, V^{(3)}} = \begin{matrix} & & 1 & Y/X & X & Y & X^2 & XY & Y^2 & X^2Y & XY^2 \\ \begin{matrix} 1 \\ Y/X \\ X \\ Y \\ X^2 \\ XY \\ Y^2 \\ X^2Y \\ XY^2 \end{matrix} & \left[\begin{matrix} \beta_{10} & \beta_{01} & \beta_{20} & \beta_{11} & \beta_{30} & \beta_{21} & \beta_{12} & \beta_{31} & \beta_{22} \\ \beta_{01} & L_{\beta}(q(x)) & \beta_{11} & \beta_{02} & \beta_{21} & \beta_{12} & \beta_{03} & \beta_{22} & \beta_{13} \\ \beta_{20} & \beta_{11} & \beta_{30} & \beta_{21} & \beta_{40} & \beta_{31} & \beta_{22} & \beta_{41} & \beta_{32} \\ \beta_{11} & \beta_{02} & \beta_{21} & \beta_{12} & \beta_{31} & \beta_{22} & \beta_{13} & \beta_{32} & \beta_{23} \\ \beta_{30} & \beta_{21} & \beta_{40} & \beta_{31} & \beta_{50} & \beta_{41} & \beta_{32} & \beta_{51} & \beta_{42} \\ \beta_{21} & \beta_{12} & \beta_{31} & \beta_{22} & \beta_{41} & \beta_{32} & \beta_{23} & \beta_{42} & \beta_{33} \\ \beta_{12} & \beta_{03} & \beta_{22} & \beta_{13} & \beta_{32} & \beta_{23} & \beta_{14} & \beta_{33} & \beta_{24} \\ \beta_{31} & \beta_{22} & \beta_{41} & \beta_{32} & \beta_{51} & \beta_{42} & \beta_{33} & \beta_{52} & \beta_{43} \\ \beta_{22} & \beta_{13} & \beta_{32} & \beta_{23} & \beta_{42} & \beta_{33} & \beta_{24} & \beta_{43} & \beta_{34} \end{matrix} \right], \end{matrix}$$

$\beta_{52}, \beta_{43}, \beta_{34}$ can be expressed in terms of lower-degree moments whenever β has a representing measure supported on $K = \mathcal{Z}(P)$, since

$$L_{\beta}(x^i y^j P) = 0 \quad \text{for all } i, j \in \mathbb{N} \cup \{0\}.$$

Applications of the TRHT

Cubic planar curves

M_k is P -**pure** if its column dependence relations are *exactly those forced by* $P(X, Y) = 0$ by linearity and recursive generation.

Theorem (Kummer & Z., 2025). Assume the setting of **Theorem I.(1)** above. Then $\beta^{(2k)}$ admits a $\mathcal{Z}(P)$ -**representing measure** if and only if one of the following holds:

(i) $M_k \succeq 0$ is P -**pure** and $M_{f, V^{(k)}} \succ 0$.

(ii) There exists a **unique candidate** extension $\tilde{\beta} \equiv \beta^{(2k+2)}$ such that

$$M_{k+1}(\tilde{\beta}) \succeq 0 \quad \text{and} \quad M_{f, V^{(k+1)}}(\tilde{\beta}) \succeq 0.$$

Remark. In case (ii), at least one of M_k and $M_{f, V^{(k)}}$ fails to have rank $3k$. Moreover, the proof in (ii) is **constructive**: one explicitly builds $\tilde{\beta}$ and hence a representing measure.

In contrast, case (i) is **existential** and not constructive.

Application of the TRHT

Cubic planar curves

Theorem II (Kummer & Z., 2025). Let $P = P_1 P_2 \in \mathbb{R}[x, y]_{\leq 3}$ be a **reducible cubic** where $P_1, P_2 \in \mathbb{R}[x, y]_{\leq 2}$, and the system

$$P_1(x, y) = P_2(x, y) = 0$$

has **two non-real** solutions.

Then the following statements are equivalent for every $k \in \mathbb{N}$:

1. $p|_k \geq 0$ for some $p \in \mathbb{R}[C]_{\leq 2k}$.
2. There exist finitely many $f_i \in \mathbb{R}[C]_{\leq k}$, $h_j, g_\ell \in \mathbb{R}[C]_{\leq k}$ such that

$$p = \sum_i f_i^2 + \chi_1 P_1 \sum_j h_j^2 + \chi_2 P_2 \sum_\ell g_\ell^2,$$

where

$$\chi_1 = \begin{cases} 1, & \text{if } P_1 \text{ is nonnegative on } \mathcal{Z}(P_2), \\ -1, & \text{if } P_1 \text{ is nonpositive on } \mathcal{Z}(P_2), \\ 0, & \text{if } P_1 \text{ changes sign on } \mathcal{Z}(P_2), \end{cases}$$

$$\chi_2 = \begin{cases} 1, & \text{if } P_2 \text{ is nonnegative on } \mathcal{Z}(P_1), \\ -1, & \text{if } P_2 \text{ is nonpositive on } \mathcal{Z}(P_1). \end{cases}$$

Irreducible Cubic Normal Forms

13 affine equivalence classes

Legend: Constructive solutions are available. Constructive solutions are missing.

(Weierstraß)

1. *Smooth Weierstraß type 1:*

$$y^2 - x(x - a)(x - b), \quad 0 < a < b,$$

2. *Smooth Weierstraß type 2:*

$$y^2 - x(x^2 + c^2), \quad c \neq 0.$$

(Rational)

3. *Neile's parabola:* $y^2 - x^3$.

4. *Nodal cubic:* $y^2 - x(x - 1)^2$.

5. *Isolated point:* $y^2 - x^2(x - 1)$.

6. *Cubic parabola:* $y - x^3$.

7. *Rational cubic type 1:*

$$xy - x^3 - q_2x^2 - q_1x - q_0, \quad q_0 \neq 0.$$

8. *Rational cubic type 2:* $xy^2 + ay - dx - e$.

(Newton)

9. *Non-Weierstraß type 1:* $xy^2 + ay - x^2 - dx - e$.

10. *Non-Weierstraß type 2:*

$$xy^2 - x^3 - cx^2 - dx - e, \quad e \neq 0.$$

11. *Non-Weierstraß type 3:*

$$xy^2 + x^3 - cx^2 - dx - e, \quad e \neq 0.$$

12. *Non-Weierstraß type 4:*

$$xy^2 + ay - x^3 - cx^2 - dx - e, \quad ae \neq 0.$$

13. *Non-Weierstraß type 5:*

$$xy^2 + ay + x^3 - cx^2 - dx - e, \quad ae \neq 0.$$

Smooth Weierstraß type

$$p_1(x, y) = y^2 - x(x - a)(x - b), \quad 0 < a < b \quad \text{or} \quad p_2(x, y) = y^2 - x(x^2 + c^2), \quad c \neq 0$$

Theorem (Bhardwaj & Z., 2026). The following statements are equivalent:

1. $\beta^{(2k)}$ admits a (rank M_k)–atomic $\mathcal{Z}(p)$ –representing measure.
2. M_k admits a flat extension M_{k+1} .
3. A certain quadratic polynomial, completely determined by $\beta^{(2k)}$, has a real root.

Remark. Using a recent result (2024+) by Baldi, Blekherman and Sinn on the number of atoms in a minimal measure, for type 2 (i.e., p_2), the conditions (1)–(3) are equivalent to the existence of a $\mathcal{Z}(p)$ –representing measure for β .

In type 1 (i.e., p_1) a representing measure may require (rank $M_k + 1$) atoms.

Open problem 1. Find a complete constructive solution in the type 1 case, i.e., characterize when a (rank $M_k + 1$)–atomic representing measure exists.

Open problem 2. Extend the theorem to Newton-type non-rational cubics, i.e., to curves of the form $xy^2 + ay = bx^3 + cx^2 + dx + e$.

TMP on the nodal cubic (constructive)

$$p_4(x, y) = y^2 - x(x - 1)^2$$

Parametrization of $\mathcal{Z}(p_4)$. $(x(t), y(t)) = (t^2, t^3 - t), \quad t \in \mathbb{R}$.

Define

$$\text{Nodal} := \{s \in \mathbb{R}[t] : s(1) = s(-1)\}, \quad \text{Nodal}_{\leq i} := \{s \in \text{Nodal} : \deg s \leq i\}.$$

Correspondence. The map

$$\Phi : \mathbb{R}[C] \rightarrow \text{Nodal}, \quad \Phi(p(x, y)) := p(t^2, t^3 - t),$$

is a ring isomorphism. Moreover, $\mathbb{R}[C]_{\leq i}$ corresponds bijectively to $\text{Nodal}_{\leq 3i}$.

Define the auxiliary subspace

$$\widetilde{\text{Nodal}}_{\leq i} := \{s \in \mathbb{R}[t]_{\leq i} : s(1) = -s(-1)\}.$$

Theorem (Positivstellensatz). Let $p \in \text{Nodal}_{\leq 6k}$ satisfy $p(t) \geq 0$ for all $t \in \mathbb{R}$.

Then there exist finitely many $g_i \in \text{Nodal}_{\leq 3k}$ and $h_j \in \widetilde{\text{Nodal}}_{\leq 3k}$ such that

$$p = \sum_i g_i^2 + \sum_j h_j^2.$$

TMP on the nodal cubic (constructive)

$$p_4(x, y) = y^2 - x(x - 1)^2$$

Bases in the univariate model. The basis for $\text{Nodal}_{\leq i}$ is

$$\mathcal{B}_{\text{Nodal}_{\leq i}} := \left\{ \mathbf{1}, t^2 - 1, t^3 - t, t^4 - t^2, \dots, t^{i-1} - t^{i-3}, t^i - t^{i-2} \right\}.$$

The basis for $\widetilde{\text{Nodal}}_{\leq i}$ is

$$\widetilde{\mathcal{B}}_{\text{Nodal}_{\leq i}} := \left\{ t, t^2 - 1, t^3 - t, t^4 - t^2, \dots, t^{i-1} - t^{i-3}, t^i - t^{i-2} \right\}.$$

Key observation. Under $\Phi(p) = p(t^2, t^3 - t)$ we have

$$\Phi\left(\frac{y}{x-1}\right) = t.$$

Thus $\frac{y}{x-1}$ plays the role of the generator t (and hence replaces $\mathbf{1}$ in the basis for $V^{(k)}$).

TMP on the nodal cubic (constructive)

$$p_4(x, y) = y^2 - x(x - 1)^2$$

Using the correspondence Φ above, the C -TMP for β is equivalent to the \mathbb{R} -TMP for

$$\mathcal{L} : \text{Nodal}_{\leq 6k} \rightarrow \mathbb{R}, \quad \mathcal{L}(p) := L_C(\Phi^{-1}(p)).$$

Using the ordered basis $\mathcal{B}_{\text{Nodal}_{\leq 6k}} \cup \widetilde{\mathcal{B}}_{\text{Nodal}_{\leq 3k}}$, the moment matrix of $L_{\text{Nodal}_{\leq 6k}}$ has the form

$$\begin{matrix} 1 \\ T \\ T^2 - 1 \\ T^3 - T \\ \vdots \\ T^{3k} - T^{3k-2} \end{matrix} \begin{bmatrix} 1 & T & T^2 - 1 & T^3 - T & \dots & T^{3k} - T^{3k-2} \\ \mathcal{L}(1) & ? & \mathcal{L}(t^2 - 1) & \mathcal{L}(t^3 - t) & \dots & \mathcal{L}(t^{3k} - t^{3k-2}) \\ ? & \mathcal{L}(t^2) & \mathcal{L}(t^3 - t) & \mathcal{L}(t^4 - t^2) & \dots & \mathcal{L}(t^{3k+1} - t^{3k-1}) \\ \mathcal{L}(t^2 - 1) & \mathcal{L}(t^3 - t) & \mathcal{L}((t^2 - 1)^2) & \mathcal{L}(t(t^2 - 1)^2) & \dots & \mathcal{L}(t^{3k-2}(t^2 - 1)^2) \\ \mathcal{L}(t^3 - t) & \mathcal{L}(t(t^3 - t)) & \mathcal{L}(t(t^2 - 1)^2) & \mathcal{L}((t^3 - t)^2) & \dots & \mathcal{L}(t^{3k-1}(t^2 - 1)^2) \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ \mathcal{L}(t^{3k} - t^{3k-2}) & \dots & \dots & \dots & \dots & \mathcal{L}((t^{3k} - t^{3k-2})^2) \end{bmatrix}.$$

From here, one can characterize when \mathcal{L} is an \mathbb{R} -moment functional and then construct a measure by completing the single unknown entry $\mathcal{L}(t)$.

Open problem 3. Does a minimal measure require $3k$ or $3k + 1$ atoms?

Reducible Cubic Normal Forms

Open problem 4. Find constructive solutions for missing cases below.

Legend: **Constructive solutions are available.**

Constructive solutions are missing.

1. *Circular type 1:*

$$P_{14}(x, y) = y(ay + x^2 + y^2), a \in \mathbb{R} \setminus \{0\}.$$

2. *Circular type 2:*

$$P_{15}(x, y) = y(1 + ay + x^2 + y^2), |a| > 2.$$

3. *Circular type 3:*

$$P_{16}(x, y) = y(1 + ay - x^2 - y^2), a \in \mathbb{R}.$$

4. *Parabolic type 1:* $P_{17}(x, y) = y(x^2 - y).$

5. *Parabolic type 2:* $P_{18}(x, y) = y(x - y^2).$

6. *Parabolic type 3:*

$$P_{19}(x, y) = y(1 + y + x^2).$$

7. *Parabolic type 4:*

$$P_{20}(x, y) = y(1 + y - x^2).$$

8. *Hyperbolic type 1:* $P_{21}(x, y) = y(1 - xy).$

9. *Hyperbolic type 2:*

$$P_{22}(x, y) = y(x + y + axy), a \in \mathbb{R} \setminus \{0\}.$$

10. *Hyperbolic type 3:*

$$P_{23}(x, y) = y(ay + x^2 - y^2), a \in \mathbb{R} \setminus \{0\}.$$

11. *Hyperbolic type 4:*

$$P_{24}(x, y) = y(1 + ay + x^2 - y^2), |a| \neq 2.$$

12. *Hyperbolic type 5:*

$$P_{25}(x, y) = y(1 + ay - x^2 + y^2).$$

13. *Parallel lines type:*

$$P_{26}(x, y) = y(a + y)(b + y), \\ a, b \in \mathbb{R} \setminus \{0\}, a \neq b.$$

14. *Intersecting lines type 1:*

$$P_{27}(x, y) = y(x - y)(x + y).$$

15. *Intersecting lines type 2:*

$$P_{28}(x, y) = yx(y + 1).$$

16. *Intersecting lines type 3:*

$$P_{29}(x, y) = y(1 + x - y)(1 - x - y).$$

TMP for $y(ay + x^2 + y^2) = 0$ (constructive)

Joint work with Seonguk Yoo

$$\beta = \{\beta_{i,j}\}_{i,j \in \mathbb{Z}_+, 0 \leq i+j \leq 2k}.$$

has a C -representing measure if and only if it admits a decomposition

$$\beta = \beta^{(\ell)} + \beta^{(c)},$$

where

$$\beta^{(\ell)} = \{\beta_{i,j}^{(\ell)}\}_{0 \leq i+j \leq 2k} \quad \text{admits a measure on } y = 0,$$

$$\beta^{(c)} = \{\beta_{i,j}^{(c)}\}_{0 \leq i+j \leq 2k} \quad \text{admits a } \mathcal{Z}(ay + x^2 + y^2)\text{-representing measure.}$$

Moreover, all moments of $\beta^{(\ell)}$ and $\beta^{(c)}$ are uniquely determined except the four scalars

$$\beta_{0,0}^{(\ell)}, \beta_{1,0}^{(\ell)}, \quad \beta_{0,0}^{(c)}, \beta_{1,0}^{(c)},$$

which are constrained by the matching relations

$$\beta_{j,0} = \beta_{j,0}^{(\ell)} + \beta_{j,0}^{(c)}, \quad j = 0, 1.$$

4. Core Variety Theorem

- ▶ Trichotomy in the sextic case in \mathbb{R}^2
- ▶ Trichotomy for irreducible planar cubics
- ▶ Quadrichotomy for reducible planar cubics

Core Variety Theorem

Let $\beta \equiv \beta^{(2k)}$. The **core variety** $\mathcal{CV}(L_\beta)$ is defined recursively by:

$$\begin{aligned}V_0 &:= V(M_k), \\V_{i+1} &:= \bigcap \left\{ \mathcal{Z}(f) : f \in \ker L_\beta, f|_{V_i} \geq 0 \right\} \quad (i \geq 0), \\ \mathcal{CV}(L_\beta) &:= \bigcap_{i \geq 0} V_i.\end{aligned}$$

Core Variety Theorem (Blekherman & Fialkow, 2020). The following statements are equivalent:

1. $\beta^{(2k)}$ admits a **representing measure**.
2. $\mathcal{CV}(L_\beta)$ is **nonempty**.

In this case, $\mathcal{CV}(L)$ coincides with the **union of supports** of all **finitely atomic representing measures** for L_β .

Core Variety for Lines and Conics ($\deg p \leq 2$)

Let $p(x, y) \in \mathbb{R}[x, y]_{\leq 2}$ and assume $M_k(\beta) \succeq 0$.

- ▶ **p -pure case:** If $M_k(\beta)$ is p -pure, then

$$\mathcal{CV}(L_\beta) = \mathcal{V}(L_\beta) = \mathcal{Z}(p) = \{(x, y) : p(x, y) = 0\}.$$

- ▶ **Not p -pure, irreducible p :** If $M_k(\beta)$ is recursively generated and $p(X, Y) = 0$, then exactly one holds:

$$(i) |\mathcal{CV}(L_\beta)| = \text{rank } M_n \Rightarrow \exists! \mu \text{ with } \text{supp } \mu = \mathcal{CV}(L_\beta),$$

$$(ii) \mathcal{CV}(L_\beta) = \emptyset \Rightarrow \text{no representing measure.}$$

- ▶ **Not p -pure, reducible $p = p_1 p_2$:** If $M_k(\beta)$ is recursively generated and $p(X, Y) = 0$, then exactly one holds:

$$(i) \mathcal{CV}(\beta) = \mathcal{Z}(p_1) \cup \{w_1, \dots, w_\ell\}, 0 \leq \ell \leq k, w_i \in \mathcal{Z}(p_2) \setminus \mathcal{Z}(p_1);$$

$$(ii) \mathcal{CV}(\beta) = \mathcal{Z}(p_2) \cup \{w_1, \dots, w_\ell\}, 0 \leq \ell \leq k, w_i \in \mathcal{Z}(p_1) \setminus \mathcal{Z}(p_2);$$

$$(iii) |\mathcal{CV}(L_\beta)| = \text{rank } M_n \Rightarrow \exists! \mu, \text{supp } \mu = \mathcal{CV}(L_\beta);$$

$$(iv) \mathcal{CV}(L_\beta) = \emptyset \Rightarrow \text{no representing measure.}$$

Core Variety Trichotomy

Theorem (Fialkow, 2017). Assume that the moment matrix $M_3(\beta)$ is positive definite.

Then **exactly one** of the following occurs:

(i) **Full support case:**

$$\mathcal{CV}(L_\beta) = \mathbb{R}^2$$

and L_β admits a **representing measure**.

(ii) **Finite case:**

$$|\mathcal{CV}(L_\beta)| = 10$$

and L_β admits a **representing measure** with

$$\text{supp } \mu = \mathcal{CV}(L_\beta).$$

(iii) **Empty case:**

$$\mathcal{CV}(L_\beta) = \emptyset$$

and L_β has **no representing measure**.

Core Variety Trichotomy for Curves $y = x^d$

Theorem (Fialkow, Z., 2025). Let $\beta \equiv \beta^{(2^n)}$ and assume

$$M_n(\beta) \succeq 0 \quad \text{and} \quad M_n(\beta) \text{ is } (y - x^d)\text{-pure.}$$

Set

$$\Gamma := \mathcal{Z}(y - x^d).$$

Then **exactly one** of the following occurs:

(i) **Full curve case:**

$$\mathcal{CV}(L_\beta) = \Gamma$$

and L_β admits a **representing measure**.

(ii) **Finite case:** $\mathcal{CV}(L_\beta)$ is **finite**, and L_β admits a **unique representing measure** supported on

$$\mathcal{CV}(L_\beta).$$

(iii) **Empty case:**

$$\mathcal{CV}(L_\beta) = \emptyset$$

and L_β has **no representing measure**.

Core Variety Trichotomy for Cubic Curves

Theorem (Yoo, Z., in preparation).

Let $P(x, y)$ be an *irreducible* cubic and assume that $M_n(\beta) \succeq 0$ is P -pure.

Then **exactly one** of the following occurs:

(i) **Full curve case:**

$$\mathcal{CV}(L_\beta) = \mathcal{Z}(P)$$

and L_β admits a **representing measure**.

(ii) **Finite case:**

$$|\mathcal{CV}(L_\beta)| = \text{rank } M_n = 3n$$

There is a **unique representing measure**, supported on $\mathcal{CV}(L_\beta)$.

(iii) **Empty case:**

$$\mathcal{CV}(L_\beta) = \emptyset$$

and L_β has **no representing measure**.

Open problem 5. Does finiteness of the core variety imply uniqueness of the representing measure?

Applications of the Core Variety Theorem

A cubic planar curve $y = x^3$

We say that M_k is $(y - x^3)$ -pure if its column dependence relations are exactly those obtained from the basic relation $Y = X^3$ by **linearity** and **recursiveness**.

Theorem (Fialkow, Z., 2025).

Assume M_k is **positive semidefinite** and $(y - x^3)$ -pure. Then the following are equivalent:

1. β admits a **representing measure** supported on $\{(x, y) : y = x^3\}$;
2. β admits a **finitely atomic** representing measure;
3. $\mathcal{CV}(L_\beta) = \mathcal{Z}(y - x^3)$;
4. $\beta_{1, 2k-1} > \phi(\beta)$, where $\phi(\beta)$ is an explicit rational expression in the moments.

5. The curve $y = x^4$

TMP for the first quartic case: $y = x^4$

Reduction to a univariate TMP. Let $\beta^{(2k)} = (\beta_{i,j})_{0 \leq i+j \leq 2k}$. Then $\beta^{(2k)}$ has a representing measure supported on $\Gamma := \mathcal{Z}(y - x^4)$ if and only if the univariate sequence $\gamma = (\gamma_m)_{m \leq 8k}$, defined by

$$\gamma_{i+4j} = \beta_{i,j} \quad (0 \leq i, j \leq k),$$

admits an \mathbb{R} -representing measure.

Gaps and completion. Not all moments of γ are determined by $\beta^{(2k)}$: there is a gap at

$$\gamma_{8k-1}, \gamma_{8k-2}, \gamma_{8k-5}.$$

Hence the associated problem becomes a **matrix completion problem**. The question is whether one can choose the auxiliary moments of a Hankel matrix so that either:

- ▶ the completed matrix is **positive definite**, or
- ▶ it is **positive semidefinite and singular** and the last column **does not increase the rank**.

TMP for the first quartic case: $y = x^4$

Index sets. Let \mathcal{B} be the ambient monomial basis. **Extremal choices.**

Set

$$\mathcal{S}_1 := \mathcal{B} \setminus \{T^{4n-3}, T^{4n-2}, T^{4n-1}, T^{4n}\},$$

$$\mathcal{S}_2 := \mathcal{B} \setminus \{T^{4n-2}, T^{4n-1}, T^{4n}\},$$

$$\mathcal{S}_3 := \mathcal{B} \setminus \{T^{4n-3}, T^{4n-1}, T^{4n}\},$$

$$\mathcal{S}_4 := \mathcal{B} \setminus \{T^{4n-1}, T^{4n}\},$$

$$\mathcal{S}_5 := \mathcal{B} \setminus \{T^{4n-2}, T^{4n-1}\}.$$

Set $C_i := C|_{\mathcal{S}_i}$.

Deterministic blocks. C_1, C_2, C_3 are completely determined by β .

Block structure.

$$C_2 = \begin{pmatrix} C_1 & u \\ u^t & \gamma_{8n-6} \end{pmatrix}, \quad C_3 = \begin{pmatrix} C_1 & v \\ v^t & \gamma_{8n-4} \end{pmatrix},$$

$$C_4[A_{8n-5}] = \begin{pmatrix} C_2 & w \\ w^t & \beta_{8n-4} \end{pmatrix}, \quad w = \begin{pmatrix} w_1 \\ A_{8n-5} \end{pmatrix},$$

$$C_5[A_{8n-5}] = \begin{pmatrix} C_2 & z \\ z^t & \gamma_{8n} \end{pmatrix}, \quad z^t = (z_1^t \quad A_{8n-5} \quad \gamma_{8n-4} \quad \gamma_{8n-3}).$$

$$(A_{8n-5})_{\pm} = v^t C_1^{-1} u \pm \sqrt{(C_2/C_1)(C_3/C_1)}.$$

Propagation coefficients. In $C_4[(A_{8n-5})_{\pm}]$ we have the relation

$$T^{4n-2} = \sum_{i=1}^{4n-2} \varphi_i^{(\pm)} T^{i-1}, \quad \varphi_i^{(\pm)} \in \mathbb{R}.$$

Define

$$(A_{8n-2})_{\pm} = \sum_{i=1}^{4n-2} \varphi_i^{(\pm)} [T^{i-1}]_{Y^n},$$

$$(A_{8n-1})_{\pm} = \sum_{i=1}^{4n-2} \varphi_i^{(\pm)} [T^i]_{Y^n}.$$

TMP for the first quartic case: $\Gamma := \mathcal{Z}(y - x^4)$

Theorem (Fialkow, Z., 2025). Suppose $M_n(\beta) \succeq 0$ and $M_n(\beta)$ is $(y - x^4)$ -pure. Then $\beta \equiv \beta^{(2n)}$ admits a Γ -representing measure if and only if the following hold:

- (i) $C_2 \succ 0$.
- (ii) $C_3 \succeq 0$.
- (iii) One of the following alternatives holds:
 - (a) The last column of

$$C[(A_{8n-5})_-, (A_{8n-2})_-, (A_{8n-1})_-]$$

is linearly dependent on the previous columns.

- (b) The last column of

$$C[(A_{8n-5})_+, (A_{8n-2})_+, (A_{8n-1})_+]$$

is linearly dependent on the previous columns.

- (c) There exists

$$A_{8n-5} \in ((A_{8n-5})_-, (A_{8n-5})_+)$$

such that $\delta \leq \rho$, where

$$\delta := ([T^{4n-1}]_{S_4})^t (C_4[A_{8n-5}])^{-1} [T^{4n-1}]_{S_4},$$

$$\rho := ([T^{4n}]_{S_2})^t C_2^{-1} [T^{4n-2}]_{S_2} + \sqrt{(C_4[A_{8n-5}]/C_2) (C_5[A_{8n-5}]/C_2)}.$$

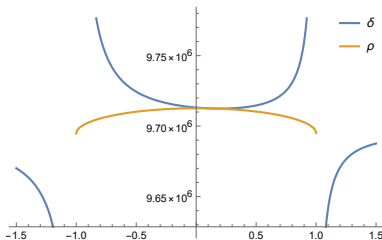
Example: Unique measure via $\delta = \rho$

Let $\beta \equiv \beta^{(8)}$ be determined by

$$\begin{aligned} \beta_{00} &= 1, \beta_{01} = 2, \beta_{02} = 14, \beta_{03} = 132, & \beta_{04} &= 1430, \beta_{05} = 16796, \beta_{06} = 208012, \beta_{07} = 2674440, \\ \beta_{10} &= 0, \beta_{11} = 0, \beta_{12} = 0, \beta_{13} = 0, & \beta_{14} &= 0, \beta_{15} = 0, \beta_{16} = 0, \beta_{17} \approx 135.39, \\ \beta_{20} &= 1, \beta_{21} = 5, \beta_{22} = 42, \beta_{23} = 429, & \beta_{24} &= 4862, \beta_{25} = 58786, \beta_{26} = 742900, \beta_{27} = A_{27}, \\ \beta_{30} &= 0, \beta_{31} = 0, \beta_{32} = 0, \beta_{33} = 0, & \beta_{34} &= 0, \beta_{35} = 0, \beta_{36} = A_{36}, \beta_{37} = A_{37}, \\ & & \beta_{08} &= 353576708, \end{aligned}$$

such that $M_4(\beta) \succeq 0$ and $M_4(\beta)$ is $(y - x^4)$ -pure.

Computations reveals:



Conclusion. By Theorem, a representing measure μ exists and is **unique**. We have

$$\text{card } \mathcal{CV}(L_\beta) = 15 > \text{rank } M_4 = 14,$$

so here $\text{card } \mathcal{CV}(L_\beta) > \text{rank } M_n$. This contrasts all previously known examples of finite core variety.

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